



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 9417

Application of:

PEDERSON, D. R.

Serial No. 09/784,392

Filed: February 15, 2001

Group Art Unit: 2162

Examiner: Chongshan Chen

OPTIMIZED END TRANSACTION PROCESSING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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The form identifies either (i) references cited in a foreign search report with regard to an application corresponding to the named U.S. application, and/or (ii) other references.

If applicable, a copy of the foreign search report is also enclosed herewith.

John D. Cowart Reg. No. 38,415

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Substitute for form 1449A/PTO

Application Number 09/784,392

INFORMATION DISCLOSURE Filing Date February 15, 20

STATEMENT BY APPLICANT First Named Inventor PRDBRSON, D. R.

Art Unit 2162

(Use as many sheets as necessary) Examiner Name Chongshan Chen

Sheet 1 of 2 Attorney Docket Number 9417

Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (Finoury)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US- 5,884,327	03/16/1999	Cotner et al.			
		US- 6,038,587	3/14/2000	Phillips et al.			
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	FOREIGN PATENT DOCUMENTS										
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Translation is attached.

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					Application Number	09/784,392				
	INFOF	RMATION DI	SCLO	SURE	Filing Date	February 15, 2001				
	STAT	EMENT BY	APPLI	CANT	First Named Inventor	PEDERSON, D. R.				
				•	Art Unit	2162				
		(Use as many sheets a:	necessary)		Examiner Name	Chongshan Chen				
$\overline{}$	Sheet	2	of	2	Attorney Docket Number	9417				

NON PATENT LITERATURE DOCUMENTS															
Examiner Initials*	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publication and/or country where published.									ne item ( blisher,	item (book, lisher, city				
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## Addendum

- RAHM, ERHARD: "Mehrrechner-Datenbanksysteme: Grundlagen der verteilten und parallelen Datenbankverarbeitung" 1994, ADDISON-WESLEY, GERMANY XP002321995, Excerpt: pages 111-131 \*page 124, paragraph 3 - page 126, paragraph 2\*
- 2. GRAY, JIM, REUTER, ANDREAS: "Transaction Processing: Concepts and Techniques" 1994, MORGAN KAUFMANN, USA, XP002321996, Excerpt: pages 562-575 and 638-646 and 651-653, \* page 563, paragraph 4 page 573, last paragraph \* page 638, paragraph 3 page 639, paragraph 1 \* page 651, paragraph 1 page 652, paragraph 4 \*
- 3. SAMARAS G ET AL: "Two-phase commit optimizations and tradeoffs in the commercial environment" PROCEEDINGS OF THE INTERNATIONAL CONFERENCE ON DATA ENGINEERING. VIENNA, APR. 19 23, 1993, LOS ALAMITOS, IEEE COMP. SOC. PRESS, US, vol. CONF. 9, 19 April 1993 (1993-04-19), pages 520-529, XP010095489 ISBN: 0-8186-3570-3 \* the whole document \*
- 4. AL-HOUMAILY Y J ET AL: "An atomic commit protocol for gigabit-networked distributed database systems" JOURNAL OF SYSTEMS ARCHITECTURE, ELSEVIER SCIENCE PUBLISHERS BV., AMSTERDAM, NL, vol. 46, no. 9, July 2000 (2000-07), pages 809-833, XP004205071 ISSN: 1383-7621 \* abstract \*